

New Findings on Transcription Regulation Across Different HIV-1 Subtypes

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Abstract

Transcriptional activation of gene expression in HIV-1 is controlled by the interaction of sequence-specific transcription factors with the long terminal repeat (LTR) of the provirus. The identification and characterization of cellular proteins involved in the process has provided a basic understanding about both general eukaryotic and HIV-1 proviral transcription regulation. The HIV-1 epidemic is expanding worldwide with an increasing number of distinct viral subtypes as well as intersubtype recombinant viruses. LTR-specific sequence variability among different HIV-1 variants could affect LTR binding to cellular and/or viral factors, influencing the extent of transcription. In vitro assays have demonstrated subtype-specific functional differences between the LTR regions of distinct HIV-1 subtypes. This observation could have consequences on the biology of the different HIV-1 clades and influence HIV-1 disease progression. Finally, the knowledge of the molecular mechanisms of transcription regulation events could help in the search for new compounds targeting the critical steps of viral transcription. (AIDS Reviews 2006;8:9-16)

Key words

HIV-1. Subtypes. Long terminal repeat. LTR. Transcription.

Introduction

Transcription is a crucial step for HIV-1 expression in all infected host cells. Multiple cell type-specific interplays between cellular and viral factors lead the virus to leave latency and to replicate in a great diversity of cells, despite the variability of its long terminal repeat (LTR) region in different HIV-1 strains. After fusion-mediated entry within host cells, un-coating, reverse transcription of the RNA genome, and nuclear entry of the pre-integration complex, the proviral DNA is integrated into the host-cell genome. Transcription of the HIV-1 provirus is then regulated by a combination of distinct

viral and cellular transcription factors. A growing list of transcription factors interacting with the LTR region, their binding sites, and other viral and cellular factors are involved in the regulation of LTR activity¹.

The LTR region of HIV-1 is similar to the eukaryotic promoter complex, with transcriptional enhancers and regulatory elements responsive to either viral or specialized cellular transactivation factors. HIV-1 gene expression directed by the viral LTR signals is carried out entirely by host-cell enzymes as RNA pol II (Fig. 1).

This review focuses on LTR of distinct HIV-1 subtypes, variations in different HIV-1 strains, regulation of their virologic function, and roles in disease progression. New advances in the molecular mechanisms of viral transcription could be helpful to identify potential targets to be used as antivirals.

LTR structure and function

LTR is the control center for gene expression and is also involved in retro-transcription and integration. The HIV-1 LTR is approximately 640 bp in length. The LTR structure of the viral RNA genome has the R-U5 region

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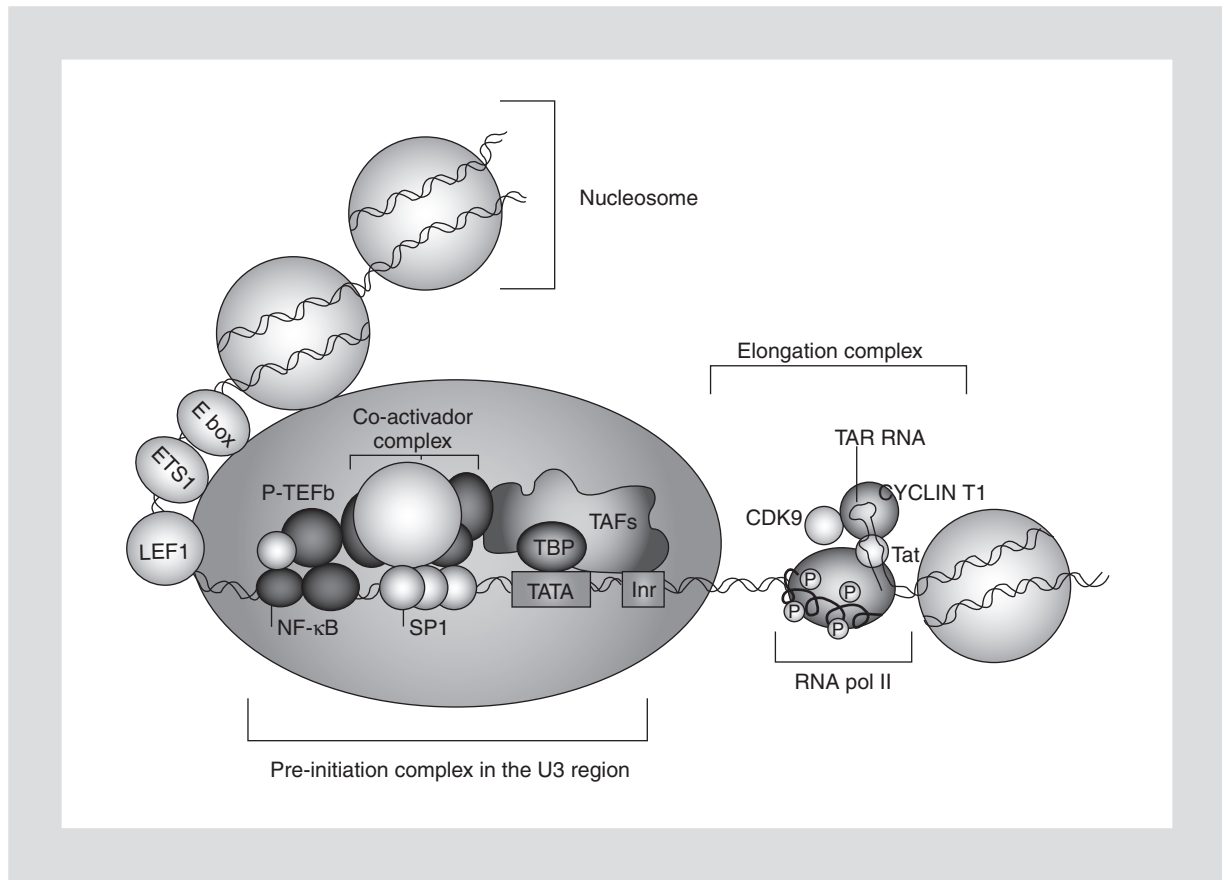


Figure 1. Initiation and elongation complexes necessary for transcription activity of HIV-1 promoter (modified from Peterlin M, Nature Reviews Immunology 2003).

in the 5' extreme and the R-U3 region in the 3' extreme. By contrast, the LTR harbors three regions (U3, R and U5) in both extremes of the proviral doubled stranded DNA (Fig. 2). The U3 region (-454 to -1 position) is subdivided into three elements: promoter or core, enhancer, and modulatory regions. The promoter region contains the TATAA box and Sp1 sites, both necessary for transcription initiation. Upstream of the core, is located the enhancer element with important NF-κB binding motifs involved in the control of a variety of cellular processes such as the immune and inflammatory responses, development, cellular growth, and apoptosis². The modulatory region, which is comprised of sequences upstream of the NF-κB sites, contains binding sites for numerous cellular factors such as cyclic AMP response element-binding protein (CREB), Ets, lymphocyte enhancer factor (LEF-1), nuclear factor of activated T-cells (NF-AT) and nuclear hormone receptors. Indeed, this region has also been proposed to contain a negative regulatory element (NRE) between nt -340 and -163 because deletions within this region increase HIV-1 LTR-directed transcription and

viral replication¹. The presence and position of specific sequence signals used for transcription and binding sites are shown in figure 2.

The R region (positions +1 to +100) contains the TAR hairpin, which binds to the viral protein Tat, being essential for the production of viable viral transcripts. The transactivation function of the viral Tat protein requires the presence of Sp1 and NF-κB sites. Moreover, Tat recruits to the LTR viral promoter diverse transcriptional factors, including enzymes with histone and factor acetyltransferase activity, which modify chromatin conformation at the proviral integration site³. After the initiation of HIV-1 transcription and subsequent synthesis of the TAR RNA, HIV-1 Tat interacts with cyclin T1/CDK9, and this interaction strongly enhances the affinity and specificity of the binding of Tat to the bulge region of the TAR RNA. Consequently, the CTD of the large subunit of RNA polymerase II can be hyper-phosphorylated, which is necessary for the efficient elongation of HIV-1 mRNA⁴ (Fig. 3). Although most Tat-associated proteins function as positive factors, others act as repressors⁵.

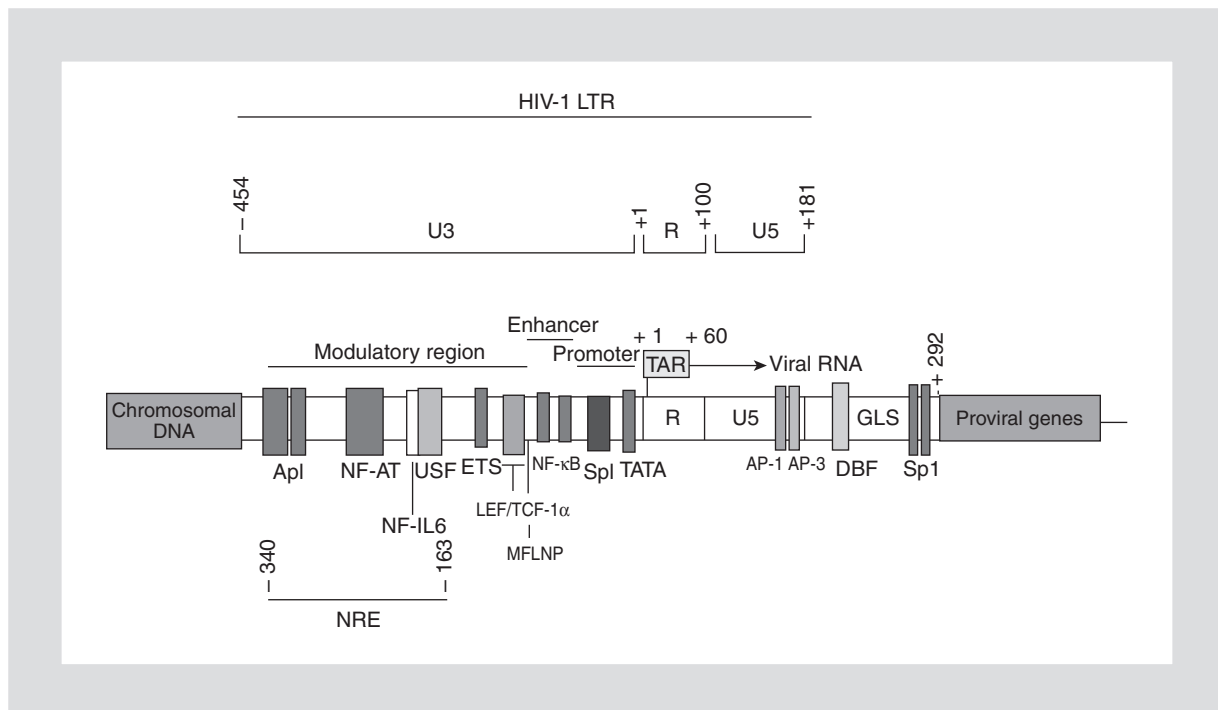


Figure 2. Structure of the 5' LTR and gag leader sequence (GLS) of HIV-1. Schematic representation of the binding sites for cellular transcription factors in the promoter, enhancer and modulatory regions of U3, R and U5. Numbering of the boxed region is relative to the transcription start site nucleotide +1.

The U5 region (positions +100 to +181) contains other binding sites for cellular transcription factors such as AP-1, AP3-like (corresponding to an NF-AT site) and Sp1 that are important for virus infectivity (Fig. 2)^{6,7}.

The transcript begins, by definition, at the beginning of R, and proceeds through U5 and the rest of the provirus, usually terminating by the addition of a poly A tract just after the R sequence in the 3' LTR. The 3' LTR is not normally functional as a promoter, although it has exactly the same sequence arrangement as the 5' LTR. Instead, the 3' LTR acts in transcription termination and polyadenylation and the 5' LTR has dominant control as a promoter. When the integrity of the 5' LTR is disrupted, the 3' LTR can act as a promoter.

Variability of LTR across distinct HIV-1 subtypes

The wide genetic diversity of HIV-1 is mostly due to its high mutation rate and recombination events, favored by a rapid virus turnover. Specific environmental pressure could favor the selection of different viral variants at any time and location. According to the genetic similarity, HIV-1 may be further subdivided into three distinct groups: M (major), O (outlier) and N (non-M, non-O). Nine subtypes (A, B, C, D, F, G, H, J, K), at

least 21 circulating recombinant forms (CRF) and multiple intersubtype unique recombinant forms (URF) are further recognized within HIV-1 group M.

Classification of HIV-1 is based primarily on the analysis of genetic sequences coding for the envelope (*env*) and other structural and functional proteins (*gag*, *pol*), even in LTR, with sequencing being the best tool for the assignment of HIV-1 isolates. While subtype B is predominant in North America and Western Europe, HIV-1 non-B subtypes and recombinants forms are responsible for more than 90% of the estimated 40 million HIV-1 infections that have occurred worldwide since the beginning of the pandemic⁸. As a consequence of immigration, international travel, and risky behavior with individuals infected in countries where other HIV-1 variants are highly prevalent, the picture of HIV-1 infection is gradually changing in industrialized countries due to the rapid spreading of non-B clades and recombinants.

Sequence variability in the LTR binding sites within the promoter, enhancer, modulatory and TAR regions have been examined in several HIV-1 subtypes⁹⁻¹⁵. However, it remains unclear for the majority of clades and recombinants whether the genetic differences observed in LTR sequences of distinct HIV-1 subtypes could influence its replicative efficiency.

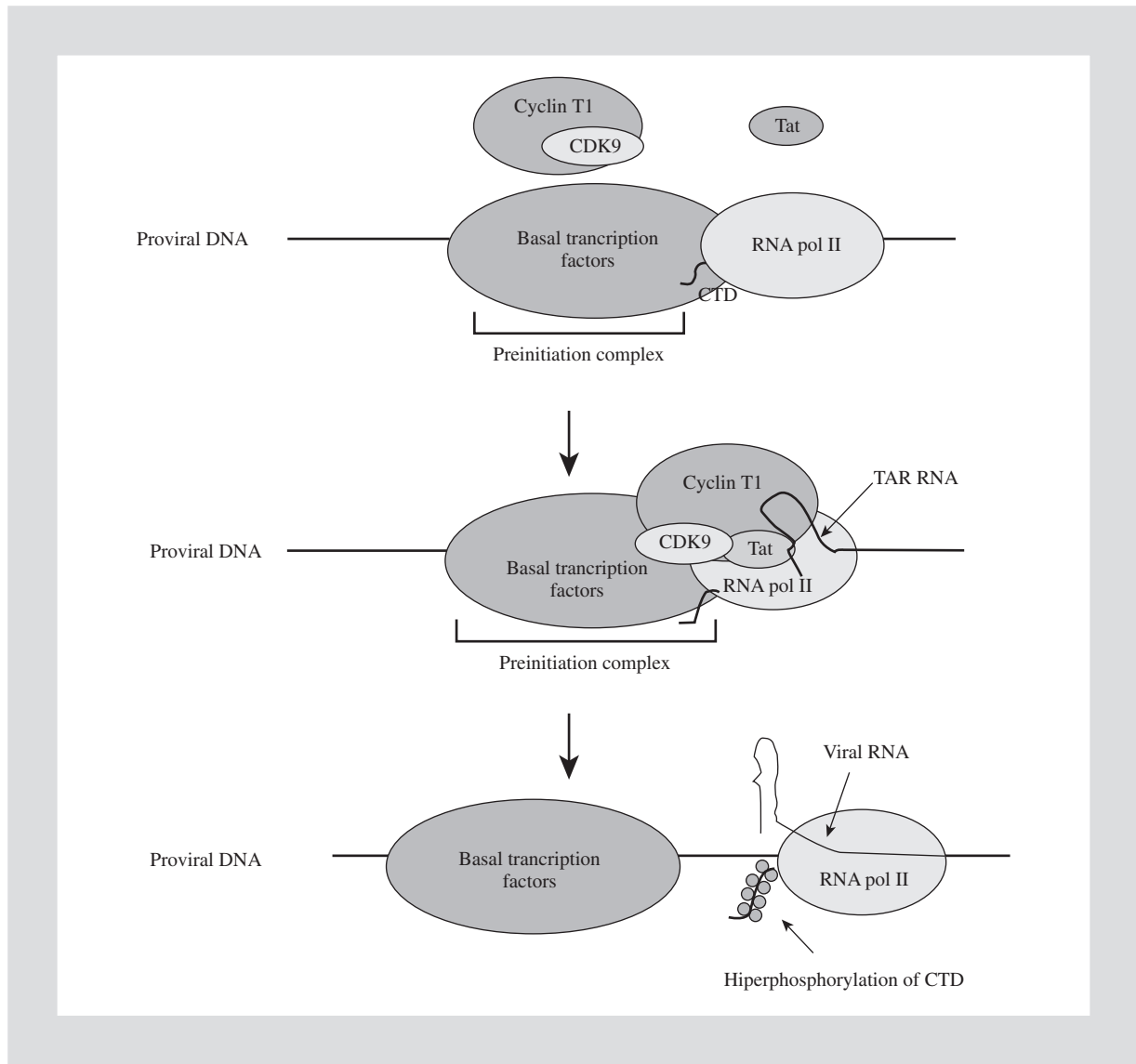


Figure 3. Mechanism for the transcriptional elongation by Tat.

Functional effects of HIV-1 LTR sequence variation

Extensive studies have been performed on LTR from some HIV-1 subtypes. Despite their role in the binding of regulatory factors, a high genetic heterogeneity in the sequences involved in transcription regulation located at the U3/R region of LTR has been found in non-B viruses. In one of these studies, sequence variability was significantly higher ($p = 0.001$) in the Core-NRE, LEF-1, NF- κ B (I), Sp-1 (II, III) sites, and TAR region when comparing non-B viruses and clade-B specimens¹⁵. Of note, the most conserved LTR sites in non-B viruses were NF-AT1, RBF-1, RBF-2, Sp-1 (I), and NF- κ B (II)¹⁵. The effects of those chang-

es on transcriptional levels have been tested in functional studies carried out using LTR from distinct HIV-1 clades.

Consequences of LTR variation within the U3 region

Several subtype-specific sequence motifs in the U3 LTR region have been identified (Table 1). The sequence TATAA at position -28 is involved in transcription initiation. While all HIV-1 clades show the TATAA box, the recombinant CRF01-AE and subtype J contain a TAAAA functional sequence^{10,14,15}.

Three upstream binding sites for the transcription factor Sp1 are usually included in the core element

Table 1. Difference published between LTRs sequences of distinct HIV-1

	A	B	C	D	E*	F	G	H	J
TATAA box (-28 position)	yes	yes	Yes	Yes	No (TAAAA)	yes	yes	yes	No (TAAAA)
Number of Sp1 sites	3	3†	3	3	3	3	3	3	3
Number of NF-κB sites	2	2	3	2	1	2	2	3	3
Number of AP-1 sites	2	0	1	0	1	2	1	nd	nd
USF interaction with Core-NRE	No	yes	Yes	No	No	nd	No	nd	nd
NRE subtype specific sequence	No	No	CGCA(Pu) ACACATC	TTTGAAY CAAAG	CGAAAA CACATA	No	No	No	CGAAGA CACATA
TAR sequence variation	1 nucleotide deletion (U25Δ)	No	C24T	C24T	1 nucleotide deletion (U25Δ)	C24T, A22G, T31C	C24T	No	T31C

*Subtype E, currently named as CRF01-AE, a recombinant form.

†Some B strains harbor four Sp1 sites.

nd: not determined.

within U3 of all HIV-1 subtypes. Meanwhile, however, the Sp1 (I) and (II) sites at positions -46 to -69 are responsible for LTR-promoter activity *in vitro*, the third Sp1 (III), located at positions -70 to -81, has minimal effect on viral transcription¹⁶. Some non-B subtypes (A, C, D, CRF01-AE and G) exhibit high variability in the three Sp1 sites being the distal Sp1 (III) the most variable⁹⁻¹⁴. By contrast, the second Sp1 (II) site is the most variable in subtypes A, CRF01-AE, G and J¹⁵. A correlation between the copy number of *cis*-acting transcription factors binding sites Sp1 and increased LTR function has been described. For instance, duplications in Sp1 binding sites in subtype B increase LTR activity, leading to greater viral replication rates^{17,18}.

With respect to NF-κB binding sites, the numbers of those sites in the enhancer region of U3 differs among different HIV-1 subtypes (Table 1). There are two in clade B and the majority of non-B subtypes, three in clade C, and only one in CRF02-AE. Studies examining the effect that NF-κB has on viral promoter activity have been carried out^{9,11,19}. Transient expression analyses with clade-C LTR, which have three NF-κB sites, have shown increased activation compared to viruses with one or two NF-κB sites within LTR. In addition, the importance of this factor in Jurkat T-cells and in microglial cells was revealed by the drastic decrease of the transcription levels due to deletion of two NF-κB sites²⁰. Interestingly, a new GABP binding site enhancing the transactivation mediated by Tat was found in all subtype E variants analyzed, replacing one of the two NF-κB sites²¹.

Upstream of the NF-κB region is located the modulatory region. It has also been proposed to contain a negative regulatory element (NRE) between nucleo-

tides -340 and -163 (Fig. 2) because deletions within this region increase the HIV-1 LTR-directed transcription and virus replication²². Although originally described as a negative regulatory region, the NRE also confers a positive regulation and contains binding sites for many cellular transcription factors including upstream stimulating factor (USF), Ap1, NF-AT and LEF/TCF-α²³. The core NRE (positions -174 to -163) within the NRE region contain subtype-specific sequences (Table 1). Thus, a consensus sequence was established for subtypes C (CGC A[Pu]A CAC ATG), E (CGA AAA CAC ATA), D (TTT GAA YCA AAG) and J (CGA AGA CAC ATA)^{11,15}. This site contains a characteristic E-Box motif, (CAC[A/G]TG), being a target for USF²⁴. *In vivo*, USF was found to repress transcription of HIV-1 subtypes A, B, C, D, E and G in epithelial cells, although it activates the same transcription in T-cell lines²⁵.

The presence of AP-1 motifs (TGA CTCA) just upstream of the NF-κB sites and near the core, represents another significant difference between distinct HIV-1 subtypes (Table 1), with one AP-1 site recognized in subtypes C, E and G, two adjacent AP-1 sites in subtypes A and F, and none in subtypes B and D¹³. The plasticity of the virus for using or not the AP-1 transcription factor could depend of the infected cell type²⁶. Interestingly, the viral Nef protein has been shown to stimulate the AP-1 pathway²⁷.

The RBE III site (positions -122 to -130) is a binding site for the transcription factor RBF-2, and it is believed to be highly conserved across all HIV-1 subtypes¹³. However, we previously found a significant genetic variability in this region in specimens belonging to clades A, F and J with respect to the HXB2 clade B reference sequence¹⁵.

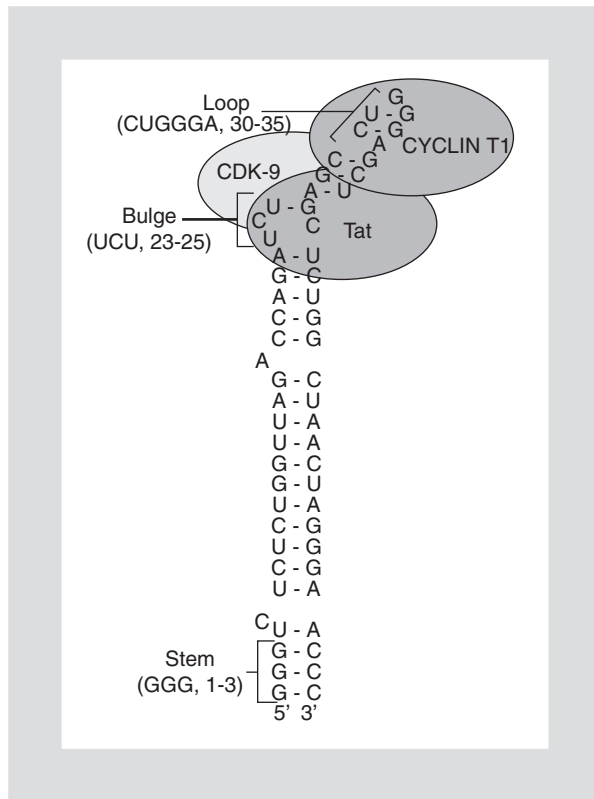


Figure 4. TAR RNA secondary structure and Tat interactions with TAK (Tat-associated kinase), cyclin T1 and CDK9. The numbers indicate the position in the TAR RNA structure.

The LTR region, including the most frequent naturally occurring length polymorphisms (MFNLP), can include from 15 to 34 bp long insertions upstream of the NF- κ B site at position -120 in the HXB2 sequence (Fig. 2). The prevalence of these insertions is high within the MFNLP region in LTR belonging to clades A, C, D, F, H and J when compared to subtype B¹⁵.

Koken, et al. described insertions within the MFNLP region as a CTG motif, due to the presence of a duplicated 5'-ACTGCTGA-3' sequence in some LTR belonging to subtype B²⁸. Subsequently they demonstrated that LTR containing the monomeric CTG motif were the most active transcriptional promoters in HeLa or Jurkat cells²⁹. Furthermore, mutant viruses with dimeric or deleted CTG motif were consistently out-competed by the wild-type virus in co-culture experiments²⁹. Most likely, the MFNLP represents a partial or full TCF-1 α /LEF-1 duplication³⁰, although it could bind a specific nuclear factor that appears to be identical to RBF-2, which could support that these polymorphisms represent a duplication of the RBE III site³¹. In fact, MFNLP deletions cause an increase in LTR-driven transcription in cells expressing RBF-2, but not in cells with undetectable RBE-2.

Consequences of LTR variation within the R region

The TAR motif is encoded by the transcribed R region of LTR sequences acting as an RNA enhancer though binding the viral Tat-transactivation protein (Tat), the cellular cyclin T factor (cyclin T1) and CDK9 Kinase (Fig. 4). Because the RNA secondary structure of this motif is critical for its correct function, it should be relatively conserved. The typical hairpin structure has been extensively studied in different HIV-1 variants¹³⁻¹⁵. TAR sequences from both subtypes A and E contain a 2-nucleotide bulge (sequence involved in the Tat binding site of TAR), known as U25 Δ , as opposed to the 3-nucleotide bulge seen in clade-B TAR (Table 1)¹⁰. Moreover, all subtypes C, D, F, most G and half of A show a C24T change in the TAR bulge¹⁵. Two additional substitutions (A22G and T31C) within the TAR region have been described in all CRF01-AE viruses¹⁰.

The Tat protein exhibits a high divergence across HIV-1 subtypes, which may influence its binding to TAR and transactivation functions^{14,32}. The Tat protein has a basic domain which functions as a binding motif to TAR RNA. Variation in subtype C at two charged amino acid residues in Tat (Arg57Ser and Gln63Glu) within and close to the basic domain, respectively, could explain the higher activity recognized for the subtype-C Tat protein³². It could show a higher affinity for the TAR RNA³². However, functional assays in Jurkat cells have not confirmed these findings, showing that Tat E demonstrates a higher transactivation activity than Tat from clades B and C¹⁰.

Consequences of LTR variation within the U5 region

In vivo and *in vitro* analyses of the region downstream of the transcription start site (U5) have identified recognition sites for several constitutive and inducible transcription factors: three AP-1 binding sites, one AP3-like site (corresponding to an NF-AT site), one DBF motif (corresponding to an interferon-responsive factor) and two juxtaposed Sp1 binding sites (nt 724 to 743) (Fig. 2). Functional studies have shown that these sites have a critical role in HIV-1 transcription and virus replication, defining a new positive transcriptional regulatory element in the HIV-1 provirus^{6,7}. For instance, proviruses containing a mutation in the two adjacent Sp1-binding sites are totally defective for viral replication in T lymphoid cells⁶.

HIV-1 gene regulation as a target for anti-HIV chemotherapy

The targets so far marketed to stop the replication of HIV-1 are acting at different stages of the virus life cycle. They are the reverse transcriptase (RT) enzyme, the viral protease (PR) and the gp41 envelope protein. Although highly active antiretroviral therapy (HAART) has been effective in dramatically reducing the mortality and morbidity of HIV-1-infected individuals, drug-related adverse side effects, poor treatment compliance, and selection of viral resistance remain major obstacles for a sustained benefit of therapy. Therefore, new drugs and treatment strategies are needed for a growing number of patients.

The possibility of repressing LTR-driven transcription using inhibitors for cellular LTR-binding factors such as NF- κ B and Sp1 has been explored in recent years^{33,34}. In a preliminary report, Reynolds, et al. have demonstrated that engineered zinc-finger transcription factors, designed to bind the -69/-51 Sp1 region within the LTR, could inhibit HIV replication in Jurkat T-cells³⁵.

Since Tat is the most potent transactivator of HIV-1 gene expression, is essential for viral replication, and has no similar homologous in human cells, it has been considered as one of the most attractive targets for inhibition of HIV-1 transcription. Several compounds have demonstrated to block the Tat/TAR RNA interaction. Among them, ALX40-4C is a peptidomimetic compound of the Tat basic domain able to specifically compete with the Tat/TAR RNA binding³⁶. Another molecule, CGP64222, is a hybrid peptoid/peptide oligomer that inhibits the formation of the Tat/TAR RNA complex *in vitro*³⁷, although its antiretroviral activity seems to be primarily due to inhibition of viral entry³⁸. All these compounds and others are in very early phases of clinical development.

As mentioned above, hyper-phosphorylation of the CTD of the RNA polymerase II is needed for transcriptional elongation. It is sensitive to the inhibition by protein kinase inhibitors, such as 5,6-dichloro-1- β -D-ribofuranosylbenzimidazoles (DRB). As expected, protein kinase inhibitors, including DRB derivatives, isoquinoline sulfonamides and flavonoids, could inhibit Tat-activated transcriptional elongation *in vitro*³⁹. Other compounds could block Tat cofactors (such as CDK9 and Cyc T1) by interfering with Tat function. Identification of additional compounds that target the transactivation process remains a hot line of investigation that might provide an opportunity to block HIV-1 expression and therefore disease progression in infected persons.

Finally, another strategy proposes the induction of HIV expression through the lymphocyte activation to purge latent reservoirs. Thus, prostratin is a non-tumorigenic phorbol ester that delays HIV replication *in vitro*, but paradoxically activates HIV expression in latently infected cells^{40,41}. Recently, others authors corroborated that prostratin induces HIV activation in latently infected cells and a downregulation of HIV receptors in PBMCs⁴². These data support the potential use of prostratin to activate HIV from latency. Another article suggested that the combination of antiretroviral therapy with a histone deacetylase (HDAC) inhibitor (valproic acid), which induces HIV expression *ex vivo* from resting CD4⁺ T-cells, safely accelerates the clearance of HIV from resting CD4⁺ T-cells *in vivo*⁴³. This strategy could represent a new and practical approach to eliminate HIV infection in viral reservoirs.

In summary, the LTR region of HIV-1 shows a high genetic variability across distinct HIV-1 subtypes. In fact, several subtype-specific markers exist in this region. Further studies should be conducted to assess whether these changes may determine distinct interactions with cellular transcription factors, leading to different transcriptional levels among distinct HIV-1 clades. In this regard, it is noteworthy that the differences noticed in the TAR region across HIV-1 subtypes could directly influence the extent of synthesis of viable HIV-1 transcripts. Finally, the LTR region may be of particular interest for the design of new drugs, which may block the interaction of HIV-1 LTR with cellular or viral proteins involved in transcription regulation.

Acknowledgments

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